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# Particulate Matter Emission Factors for Almond Harvesting Equipment as a Function of Speed

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**Project No.:** 07.ENVIR10.CAPAREDA

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**Interpretive Summary:**

The 2007 field sampling campaign focused on developing emission factors for almond harvest operations as a function of the harvester ground speed. This was achieved through ambient particulate matter (PM) sampling downwind of the target operation and the use of inverse dispersion modeling to determine emission factors. Ambient sampling was conducted using total suspended particulate (TSP) samplers and federal reference method (FRM) PM<sub>10</sub> samplers.

The equipment tested was a Flory Model 850 PTO Harvester. The equipment was operated at approximately 5mph for the high speed tests and approximately 2.5mph for the low speed tests. Each test included approximately 1 hour of harvest operations. Field size varied between tests based on harvester speed in order to maintain consistent test duration.

The true PM<sub>10</sub> emission factors from the 2007 sampling campaign in Arbuckle are shown in Table 1. There were no statistically significant differences between models or treatments for the PM<sub>10</sub> emission factors from this site.

**Table 1. True PM<sub>10</sub> emission factors for both treatments and models from Arbuckle.**

| kg/km <sup>2</sup> | ISC   |         | AERMOD |         |
|--------------------|-------|---------|--------|---------|
|                    | 5 mph | 2.5 mph | 5 mph  | 2.5 mph |
| Mean               | 380   | 311     | 359    | 274     |
| Std. Dev.          | 271   | 215     | 275    | 159     |
| n                  | 19    | 18      | 19     | 18      |

The true PM<sub>2.5</sub> emission factors from the 2007 sampling campaign in Arbuckle are shown in Table 2. Again, there were no statistically significant differences between models or treatments for the PM<sub>2.5</sub> emission factors from this site.

**Table 2. True PM<sub>2.5</sub> emission factors for both treatments and models from Arbuckle.**

| kg/km <sup>2</sup> | ISC   |         | AERMOD |         |
|--------------------|-------|---------|--------|---------|
|                    | 5 mph | 2.5 mph | 5 mph  | 2.5 mph |
| Mean               | 26    | 23      | 24     | 20      |
| Std. Dev.          | 18    | 16      | 19     | 12      |
| n                  | 19    | 18      | 19     | 18      |

Analysis of variance tests of the calculated ISC and AERMOD emission factors for both PM<sub>10</sub> and PM<sub>2.5</sub> showed no statistical difference between emission factors as a function of the model used. Therefore, previously calculated emission factors developed for almond harvesting with ISC are usable and should be directly comparable to those developed in the future with AERMOD.

The results of sampling at the Wasco site were unreliable due to high atmospheric stability during sampling tests, in which neither ISCST3 nor AERMOD accurately characterize the movement of PM from source to receptor (Perry et al., 2005; Parnell, unpublished data). Sampling at the Wasco site occurred primarily in the afternoon and evening, when the Monin-Obukhov length was positive, indicating that the atmosphere is stable such that little vertical mixing occurs.

### **Objectives:**

The goal of this ongoing research is to provide the most up to date data concerning PM emissions from almond harvesting. The ongoing improvement in harvester design and the introduction of management practices that may allow producers to mitigate emissions, thus proactively assisting the local air district in their efforts to improve air quality, make continuing evaluation of emissions from harvesting operations important. The specific objectives are as follows:

1. Develop additional data to improve the almond harvest dataset that currently exists;
2. Quantify the change in emissions when a reduced harvest speed is implemented during harvest pick-up operations; and
3. Quantify the difference between emission factors developed with ISC and AERMOD to ensure accurate characterization of emissions from almond harvest operations as the EPA regulatory model changes.

### **Materials and Methods:**

#### **Test Sites**

In 2007, sampling was conducted at orchards in Wasco and Arbuckle, which have been used for the past several years. The Arbuckle site was operated by the same cooperator used in past years. Sampling was conducted on the same orchard as the sweeping study in previous years, as well as an additional two fields owned by the cooperator. All trees were approximately the same age (9 years old) and the orchards had similar soil conditions.

### **Experiment Summary**

With the goal of quantifying the reduction in PM<sub>10</sub> and PM<sub>2.5</sub> emissions as a result of a single conservation management practice, a completely randomized statistical design was employed. At each location, emissions were compared between a “standard” harvesting speed of 5 mph and an experimental harvest speed of 2.5 mph. A total of 5 tests at each speed were conducted at each location. Each test included approximately 1 hour of harvest operations with Flory Model 850 PTO Harvester. The PTO on the tractor was operated at the recommended speed for both tests, meaning that the fan and belts in the harvester were maintained at a constant speed for both tests. Field size varied between tests based on harvester speed in order to maintain consistent test duration. For each test, a maximum of four TSP concentrations and four PM<sub>10</sub> concentrations were observed.

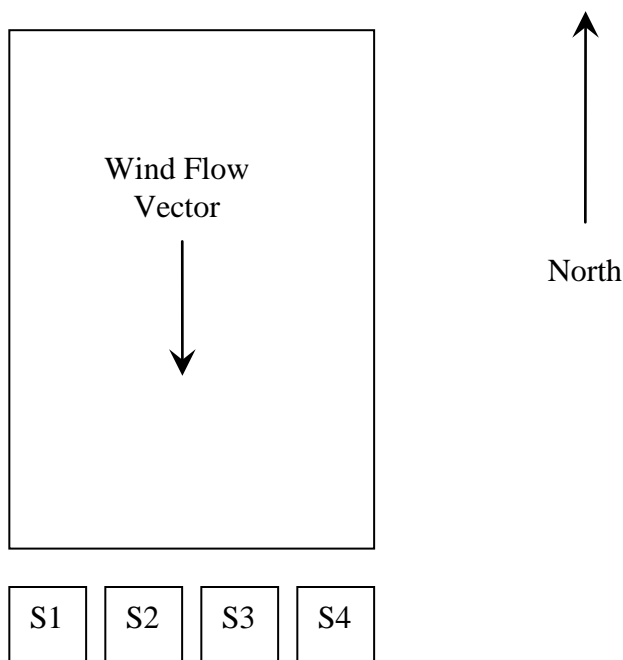
### **Particulate Measurement**

Particulate measurements were conducted using custom-built PM samplers with FRM inlets for PM<sub>10</sub>, and custom-built TSP inlets, all operating at 1m<sup>3</sup>/hour sampling flow rates. The airflow control units were custom-built to allow for more robust operation in harsh environments and to realize more accurate airflow measurement than is possible with standard FRM samplers. Because uncertainty in airflow measurement is the source of most of the uncertainty in PM concentrations measurements, use of these custom-built samplers significantly reduced the uncertainty in measured concentrations of PM<sub>10</sub> and PM<sub>2.5</sub>. The TSP sampler was designed to obtain the same cut point as high-volume TSP samplers designated as FRM samplers prior to implementation of the PM<sub>10</sub> standard. TSP samplers were used due to the well-documented sampling bias of size-selective PM pre-separators when operated in the presence of particulate matter (PM) that is larger than the cut point of the sampler (10µm for PM<sub>10</sub> sampler, 2.5µm for PM<sub>2.5</sub> sampler) (Buser et al., 2007). Particle size distribution (PSD) analyses were conducted on all TSP filters to determine the true PM<sub>10</sub> and PM<sub>2.5</sub> concentrations. This allowed for the quantification of the sampling bias of the PM<sub>10</sub> samplers, as well as allowing for the development of emission factors based on the true concentrations of particulate less than 10µm.

Samplers were set up to measure the net concentration change across the orchard during harvest operations. A total of 5 sampling locations were used for each test. A single upwind location was used consisting of collocated TSP and PM<sub>10</sub> samplers. Four downwind sampling locations were spaced evenly across the width of the treatment area for a given test. All four downwind sampling locations consisted of collocated TSP and PM<sub>10</sub> samplers. The sampler configuration is shown in Fig. 1. All orchards were configured with north south rows, requiring a southerly flow vector for all tests. When calculating downwind concentrations to be used for modeling and emission factor reporting, the upwind concentration (also assumed to be the background concentration) was always subtracted from the downwind concentration measurements to determine the contribution of the harvest operations to the measured concentration.

PM<sub>2.5</sub> samplers were not used for these tests because the short sampling period required for determining emission factors from almond harvesting does not lend itself to measuring the low concentrations of PM<sub>2.5</sub> generated by agricultural field operations. For example, during the 2006 sampling campaign (at the same orchards used in 2007)

the mass median diameter (MMD) and geometric standard deviation (GSD) for PM from almond harvesting was 15.6 $\mu$ m and 2.2, respectively, in Wasco, and 12.8 $\mu$ m and 2.2, respectively, in Arbuckle. The resulting PM<sub>2.5</sub> as a percentage of TSP measurements was 0.9% for Wasco and 2.0% for the Arbuckle location. These concentrations, then, were well below the detection limit for the sampling equipment and protocols used in this study.



**Figure 1. Sampler configuration for all tests. All prevailing winds were from a northerly direction and all orchard rows ran north-south.**

### ***Particle Size Distribution***

Due to the design of EPA FRM size-selective samplers, there is an inherent over-sampling bias when they are operated in environments that have a significant mass of PM larger than the sampler cut point (10 $\mu$ m for PM<sub>10</sub> samplers; 2.5 $\mu$ m for PM<sub>2.5</sub> samplers). According to Buser et al. (2007), this oversampling bias can lead to over-estimation of true PM<sub>10</sub> concentrations by a factor of three or more. The potential oversampling biases of PM<sub>2.5</sub> samplers are even greater. Therefore, particle size analyses were conducted on TSP samples to determine the true PM<sub>10</sub> and PM<sub>2.5</sub> concentrations.

The mass distribution of most poly-disperse particles can be described by a log-normal distribution that is characterized by the mass media diameter (MMD) and geometric standard deviation (GSD) (Hinds, 1999). The PSD of the sample can then be used to determine the fraction of the measured TSP concentrations that are less than 10 and 2.5 $\mu$ m to determine the true concentrations of PM<sub>10</sub> and PM<sub>2.5</sub>, respectively. Furthermore, by comparing the true PM<sub>10</sub> concentrations against the collocated FRM PM<sub>10</sub> concentrations, the measurement bias of the FRM sampler can be determined. To determine the true PM<sub>10</sub> and PM<sub>2.5</sub> concentrations, PSDs of TSP samples were determined using a Malvern Mastersizer 2000.

## Modeling

### AERMOD

AERMOD is a steady state Gaussian dispersion model developed to model near field dispersion of pollutants from stationary industrial sources (EPA, 2004). The major improvement in AERMOD over ISCST3 is found in the incorporation of state-of-the-art relationships for flow over complex terrain, and in the ability to characterize the planetary boundary layer (PBL) under both stable and convective conditions (EPA, 2004). For air quality purposes, one is concerned with dispersion in the PBL. PBL is defined as:

*...” the layer of air directly above the Earth’s surface in which the effects of the surface (friction, heating, and cooling) are felt directly on time scales less than a day, and in which significant fluxes of momentum, heat or matter are carried by turbulent motions on a scale of the order of the depth of the boundary layer or less” (Garratt, 1992).*

The AERMOD model architecture is comprised of two preprocessors, AERMET and AERMAP, which process standard meteorological data and terrain data, respectively, and the AERMOD dispersion model. AERMAP is used to describe the physical configuration of the model domain with regard to source-receptor orientation (i.e. source elevation and release height and receptor elevation and height above grade). AERMET is used to develop meteorological data files for use in AERMOD containing standard meteorological data (surface measurements of wind speed, wind direction, temperature, and cloud cover), as well as parameters to characterize the PBL, such as friction velocity ( $u^*$ ), Monin-Obukhov length ( $L$ ), convective velocity scale ( $w^*$ ), temperature scale ( $\theta^*$ ), mixing height ( $z_i$ ), and surface heat flux ( $H$ ). Estimates for albedo, surface roughness, and Bowen ratio are also input to AERMET to help calculate the PBL stability parameters. Similarity relationships are used in AERMOD with meteorological data input files from AERMET to develop vertical profiles for wind speed, lateral and turbulent fluctuations ( $\sigma_v$ , and  $\sigma_w$  respectively), potential temperature, and potential temperature gradient (EPA, 2004). As of November 2007, AERMOD replaced ISCST3 as the EPA’s preferred regulatory model.

The general form of the concentration prediction equation is shown in eq. 1. In both convective and stable conditions (indicated by the c and s subscripts, respectively), the plume is contained in two plume types: 1) the horizontal plume and 2) the terrain responding plume.

$$C_t\{x_r, y_r, z_r\} = f C_{c,s}\{x_r, y_r, z_r\} + (1 - f) C_{c,s}\{x_r, y_r, z_p\} \quad (1)$$

where:  $C_t\{x_r, y_r, z_r\}$  represents the total concentration predicted at receptor location  $x_r, y_r, z_r$  from the horizontal plume,  $C_{c,s}\{x_r, y_r, z_r\}$ , and terrain following plume,  $C_{c,s}\{x_r, y_r, z_p\}$ .

Under stable conditions, the point source dispersion equation takes the Gaussian form shown in eq. 2.

$$C_s\{x_r, y_r, z\} = \frac{Q}{\sqrt{2\pi}u\sigma_{zs}} F_y \sum_{m=-\infty}^{\infty} \left[ \exp\left(-\frac{(z - h_{es} - 2m z_{ieff})^2}{2\sigma_{zs}^2}\right) + \exp\left(-\frac{(z + h_{es} + 2m z_{ieff})^2}{2\sigma_{zs}^2}\right) \right] \quad (2)$$

where Q is the emission rate, u is the wind speed,  $\sigma_{zs}$  is the total vertical dispersion coefficient (under stable conditions – s subscript),  $h_{es}$  is the plume height, and  $z_{ieff}$  is the effective mechanical mixing height.  $F_y$  accounts for the lateral meander of the plume and has the form shown in eq. 3.

$$F_y = \frac{1}{\sqrt{2\pi}\sigma_y} \exp\left(-\frac{1}{2} \frac{y^2}{\sigma_y^2}\right) \quad (3)$$

where:  $\sigma_y$  is the lateral plume spread parameter evaluated at crosswind distance y.

In the convective boundary layer (CBL), the contributions from the horizontal and terrain following plumes used to calculate the total predicted concentration (eq. 1) are a consequence of three source components: the direct source, the indirect source, and the penetrated source contributions. The sum of these source contributions are used to calculate the horizontal and terrain following plume contributions (eq. 4).

$$C_c\{x_r, y_r, z_r\} = C_d\{x_r, y_r, z_r\} + C_r\{x_r, y_r, z_r\} + C_p\{x_r, y_r, z_r\} \quad (4)$$

where:  $C_d$ ,  $C_r$ , and  $C_p$  are the direct, indirect, and penetrated source contributions. To calculate  $C_c$  for the terrain following plume state, “ $z_p$ ” is substituted for “ $z_r$ ”.

The direct source contribution ( $C_d$ ; eq. 5) accounts for pollutant emissions that are directly dispersed in the convective boundary layer and are subsequently transported toward ground based receptors.

$$C_d\{x_r, y_r, z\} = \frac{Qf}{\sqrt{2\pi}u} F_y \sum_{j=1}^2 \sum_{m=0}^{\infty} \frac{\lambda_j}{\sigma_{z,j}} \left[ \exp\left(-\frac{(z + \psi_{dj} - 2m z_i)^2}{2\sigma_{zj}^2}\right) + \exp\left(-\frac{(z - \psi_{dj} + 2m z_i)^2}{2\sigma_{zj}^2}\right) \right] \quad (5)$$

where:  $\lambda$  is the distribution weighting coefficient and  $\Psi$  is the effective source height.

The indirect source contribution ( $C_r$ ; eq. 6) is the portion of the plume reflected by the surface between the stable upper boundary layer and the mixed boundary layer at the mixing height of the convective boundary layer. The portion of the indirect plume not reflected back toward the ground is assumed to penetrate to the stable upper layer.

$$C_r\{x_r, y_r, z\} = \frac{Qf}{\sqrt{2\pi}u} F_y \sum_{j=1}^2 \sum_{m=1}^{\infty} \frac{\lambda_j}{\sigma_{z,j}} \left[ \exp\left(-\frac{(z + \psi_{rj} - 2m z_i)^2}{2\sigma_{zj}^2}\right) + \exp\left(-\frac{(z - \psi_{rj} + 2m z_i)^2}{2\sigma_{zj}^2}\right) \right] \quad (6)$$

The penetrated source contribution ( $C_p$ ; eq. 7) accounts for the portion of the plume that initially penetrates the CBL above  $z_i$ , and is subsequently re-entrained by and dispersed in the CBL.

$$C_p\{x_r, y_r, z\} = \frac{Q(1-f)}{\sqrt{2\pi}u\sigma_{zp}} F_y \sum_{m=-\infty}^{\infty} \left[ \exp\left(-\frac{(z-h_{ep}-2mz_{ieff})^2}{2\sigma_{zp}^2}\right) + \exp\left(-\frac{(z+h_{ep}+2mz_{ieff})^2}{2\sigma_{zp}^2}\right) \right] \quad (7)$$

### ISCST3

ISCST3 is a Gaussian dispersion model that uses the normal (Gaussian) distribution to describe the horizontal and vertical dispersion of a pollutant downwind from the source. The pollutant concentration estimated by ISCST3 at a downwind receptor is influenced by meteorological factors (wind direction, wind speed, temperature, etc.), source emission characteristics (emission height, emission temperature, emission velocity, etc.), and receptor characteristics (receptor height and distance from source to receptor). State Air Pollution Regulatory Agencies have used ISCST3 in New Source Review permitting processes to determine off property concentrations resulting from emissions from the facility seeking the permit.

The Gaussian dispersion equation for a single point source is shown in eq. 8.

$$C_M = \frac{ER_{TSP}}{2\pi u \sigma_y \sigma_z} \exp\left(-\frac{1}{2} \frac{y^2}{\sigma_y^2}\right) \left\{ \exp\left(-\frac{1}{2} \frac{(z-H)^2}{\sigma_z^2}\right) + \exp\left(-\frac{1}{2} \frac{(z+H)^2}{\sigma_z^2}\right) \right\} \quad (8)$$

where:  $C_M$  is the time average steady state concentration at a point (x, y, z) ( $\mu\text{g}/\text{m}^3$ ); u is average wind speed at stack height (m/s); y is the horizontal distance from plume centerline (m); z is the height of receptor with respect to ground (m); H is the effective stack height ( $H=h+\Delta h$ , where h is the physical stack height and  $\Delta h$  is the plume rise)(m); and  $\sigma_y$  and  $\sigma_z$  are the horizontal and vertical plume dispersion coefficients (m), respectively.

The area source algorithm in ISCST3 utilizes a numerical integration of eq. 8 in the upwind and crosswind directions to determine receptor concentrations. In this case, eq. 8 takes the form shown in eq. 9, and the sum of the concentration contributions from all integrated line sources is used to predict the pollutant concentration at the receptor.

$$C_M = \frac{q}{2\pi u \sigma_y \sigma_z} \left\{ \exp\left(-\frac{1}{2} \frac{(z-H)^2}{\sigma_z^2}\right) + \exp\left(-\frac{1}{2} \frac{(z+H)^2}{\sigma_z^2}\right) \right\} \int_{y_1}^{y_2} \exp\left(-\frac{1}{2} \frac{y^2}{\sigma_y^2}\right) dy \quad (9)$$

where: q is the area source flux ( $\text{g}/\text{m}^2\text{-s}$ ). ISCST3 solves the equation shown in eq. 9 using a trapezoidal approximation.

## Results and Discussion:

### Measured Concentrations

Concentrations of both TSP and  $\text{PM}_{10}$  were measured during all tests at the four downwind locations and one upwind location. Table 3 shows the net concentration measurements for the TSP and FRM  $\text{PM}_{10}$  samplers during the Arbuckle and Wasco sampling campaigns. The large standard deviations in the measured TSP and  $\text{PM}_{10}$  concentrations reflect the many uncontrollable variables that affect concentration measurements. No statistical differences in measured concentrations were detected between treatments ( $\alpha = 0.05$ ) at Arbuckle. At Wasco, The measured concentrations of

TSP were significantly different ( $p = 0.041$ ) while no differences were detected between FRM PM<sub>10</sub> concentrations. However, differences in downwind concentrations of PM do not necessarily reflect differences in emission factors.

**Table 3. Average net measured TSP and FRM PM<sub>10</sub> concentrations.**

| ug/m <sup>3</sup> | 5 mph |                      | 2.5 mph |                      |
|-------------------|-------|----------------------|---------|----------------------|
|                   | TSP   | FRM PM <sub>10</sub> | TSP     | FRM PM <sub>10</sub> |
| <b>Arbuckle</b>   |       |                      |         |                      |
| <b>Mean</b>       | 1110  | 422                  | 680     | 317                  |
| <b>Std. Dev.</b>  | 689   | 185                  | 769     | 290                  |
| <b>n</b>          | 19    | 17                   | 18      | 18                   |
| <b>Wasco</b>      |       |                      |         |                      |
| <b>Mean</b>       | 1371  | 455                  | 3993    | 1687                 |
| <b>Std. Dev.</b>  | 683   | 227                  | 4158    | 2283                 |
| <b>n</b>          | 12    | 11                   | 15      | 16                   |

### Particle Size Distributions

Particle size distribution analyses were conducted on all TSP filters, and the PSD fit with a log-normal distribution. The average MMDs and GSDs of the distributions from the Arbuckle and Wasco filters are shown in Table 4, along with the percentage of PM that is PM<sub>2.5</sub> and PM<sub>10</sub>, respectively, and the PM<sub>2.5</sub> to PM<sub>10</sub> ratio.

**Table 4. Particle size distribution parameters from TSP filters.**

| Speed           | MMD (um) | GSD | PM <sub>10</sub> (%) | PM <sub>2.5</sub> (%) | PM <sub>2.5</sub> /PM <sub>10</sub> (%) |
|-----------------|----------|-----|----------------------|-----------------------|---|
| <b>Arbuckle</b> |          |     |                      |                       |   |
| <b>5 mph</b>    | 14.3     | 2.4 | 34                   | 2                     | 7                                       |
| <b>2.5 mph</b>  | 11.0     | 2.2 | 45                   | 3                     | 7                                       |
| <b>Wasco</b>    |          |     |                      |                       |   |
| <b>5 mph</b>    | 12.0     | 2.0 | 39.7                 | 1.2                   | 2.9                                     |
| <b>2.5 mph</b>  | 11.4     | 1.9 | 43.4                 | 1.1                   | 2.4                                     |

The true PM<sub>10</sub> and PM<sub>2.5</sub> concentrations can be calculated by multiplying the TSP concentrations by the fraction of PM less than 10 and 2.5µm, respectively. The average true PM<sub>10</sub> and PM<sub>2.5</sub> concentrations from the Arbuckle and Wasco sites are shown in Table 5. No statistical differences were detected between treatments in the true concentrations of PM<sub>10</sub> or PM<sub>2.5</sub> at the Arbuckle site. Again, differences in concentrations do not necessarily reflect differences in emission factors. The true PM<sub>10</sub> concentrations were lower than those measured by the FRM PM<sub>10</sub> samplers due to the oversampling bias of FRM samplers reported by Buser et al. (2007).

**Table 5. True PM<sub>10</sub>, and PM<sub>2.5</sub> concentrations.**

| ug/m <sup>3</sup> | 5 mph                 |                        | 2.5 mph               |                        |
|-------------------|-----------------------|------------------------|-----------------------|------------------------|
|                   | True PM <sub>10</sub> | True PM <sub>2.5</sub> | True PM <sub>10</sub> | True PM <sub>2.5</sub> |
| <b>Arbuckle</b>   |                       |                        |                       |                        |
| <b>Mean</b>       | 379                   | 26                     | 307                   | 10                     |
| <b>Std. Dev.</b>  | 235                   | 16                     | 347                   | 10                     |
| <b>Wasco</b>      |                       |                        |                       |                        |
| <b>Mean</b>       | 784                   | 23                     | 2448                  | 63                     |
| <b>Std. Dev.</b>  | 235                   | 8                      | 2566                  | 66                     |



A comparison of the average true PM<sub>10</sub> concentration from Arbuckle (Table 5) and the average FRM PM<sub>10</sub> concentration for the same tests (Table 3) show a bias in the FRM sampler concentrations of approximately 11% for the 5 mph tests and 3.3% for the 2.5 mph tests. The differences in sampler bias between tests reflect the increased bias reported by Buser et al (2007) as the MMD of sampled PM increases above the sampler cut point. The greater error in samples with larger MMDs is a direct function of the biases associated with the design of FRM samplers.

### **Emission Factors**

Emission factors were developed using both ISCST3 and AERMOD for the Arbuckle site. Emission factors from the Wasco site are not reported because the Monin-Obukhov length, which measures the height above the ground at which the production of turbulence by both mechanical and buoyancy forces is equal, during most of the tests at Wasco tests was positive, indicating stable atmospheric conditions. Under stable atmospheric conditions, very little pollutant dispersion occurs, and the neither ISCST3 nor AERMOD accurately characterize the movement of PM from source to receptor (Perry et al, 2005; Parnell, unpublished data). At the Arbuckle site, the Monin-Obukhov length was negative during all tests, indicating an unstable atmosphere in which ISC and AERMOD perform much better. For the Arbuckle site, four emission factors were developed for each speed with each model: a TSP emission factor, an FRM PM<sub>10</sub> emission factor, a true PM<sub>10</sub> emission factor, and a true PM<sub>2.5</sub> emission factor. Emission factors reported below were calculated by multiplying the results of modeling analyses by two to account for the harvest of both Non-Pareil and other varieties in any given year. Therefore, reported emission factors are on an annual basis rather than a per-harvest basis.

The TSP emission factors from both models for both harvester speed treatments are shown in Table 6. The TSP emission factor for harvesting at the “standard” speed of 5 mph was 1,117 kg/km<sup>2</sup> when using ISC or 1,057 kg/km<sup>2</sup> when using AERMOD. These emission factors were not statistically different ( $\alpha=0.05$ ), nor were the emission factors for the 2.5 mph harvester speed. That the emission factors developed using both ISC and AERMOD were not statistically different is an important finding in that the previous emission factors for almond harvest operations developed using ISC should produce comparable results when used in AERMOD under the same meteorological conditions. Previously, a direct comparison between emission factors from these models was not possible due to the lack of the on-site meteorological data required for accurate AERMOD dispersion modeling analysis.

**Table 6. Annual TSP emission factors from Arbuckle for both models and treatments.**

| kg/km <sup>2</sup> /yr | ISC   |         | AERMOD |         |
|------------------------|-------|---------|--------|---------|
|                        | 5 mph | 2.5 mph | 5 mph  | 2.5 mph |
| Mean                   | 1117  | 691     | 1057   | 609     |
| Std. Dev.              | 798   | 477     | 809    | 352     |
| n                      | 19    | 18      | 19     | 18      |

Differences were detected in AERMOD TSP emission factors between harvester speed treatments ( $p = 0.038$ ). The TSP emission factor for the 2.5 mph harvester

speed was approximately 42% lower than the TSP emission factor for the standard treatment. However, reductions in TSP emissions do not necessarily translate into reductions in PM<sub>10</sub> and/or PM<sub>2.5</sub> emissions.

The true PM<sub>10</sub> emission factors for Arbuckle are presented in Table 7. These emission factors were calculated for both models using PM<sub>10</sub> concentrations as determined through the use of the PSDs and the measured TSP concentrations. Due to the differences in the PSDs between treatments presented in Table 4, the differences in TSP emission factors did not translate into differences in PM<sub>10</sub> emission factors. No statistical differences were detected in PM<sub>10</sub> emission factors for almond harvest between models or between treatments ( $\alpha = 0.05$ ).

**Table 7. True PM<sub>10</sub> emission factors from Arbuckle for both models and treatments.**

| kg/km <sup>2</sup> /yr | ISC   |         | AERMOD |         |
|------------------------|-------|---------|--------|---------|
|                        | 5 mph | 2.5 mph | 5 mph  | 2.5 mph |
| Mean                   | 380   | 311     | 359    | 274     |
| Std. Dev.              | 271   | 215     | 275    | 159     |
| n                      | 19    | 18      | 19     | 18      |

Emission factors from FRM PM<sub>10</sub> concentrations at Arbuckle calculated using both ISC and AERMOD are shown in Table 8. No statistical differences were detected in FRM PM<sub>10</sub> emission factors for almond harvest between models or between treatments ( $\alpha = 0.05$ ).

**Table 8. Annual FRM PM<sub>10</sub> emission factors from Arbuckle for both models and treatments.**

| kg/km <sup>2</sup> /yr | ISC   |         | AERMOD |         |
|------------------------|-------|---------|--------|---------|
|                        | 5 mph | 2.5 mph | 5 mph  | 2.5 mph |
| Mean                   | 413   | 329     | 400    | 324     |
| Std. Dev.              | 205   | 211     | 229    | 340     |
| n                      | 17    | 18      | 17     | 18      |

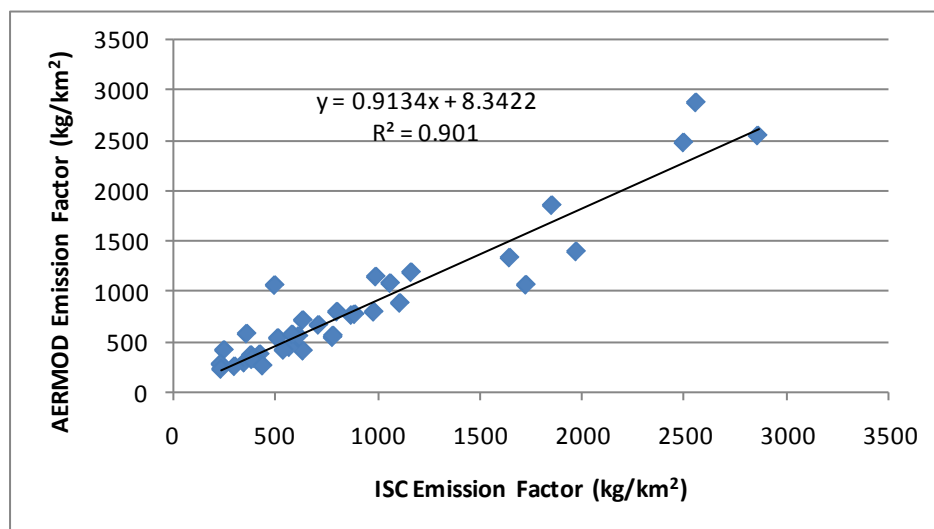
The true PM<sub>2.5</sub> emission factors for Arbuckle are presented in Table 9. These emission factors were calculated for both models using PM<sub>2.5</sub> concentrations as determined through the use of the PSDs and the measured TSP concentrations. Like the PM<sub>10</sub> emission factors, due to the differences in the PSDs between treatments presented in Table 4, the differences in TSP emission factors did not translate into differences in PM<sub>2.5</sub> emission factors. No statistical differences were detected in PM<sub>2.5</sub> emission factors for almond harvest between models or between treatments ( $\alpha = 0.05$ ).

**Table 9. Annual true PM<sub>10</sub> emission factors from Arbuckle for both models and treatments.**

| kg/km <sup>2</sup> /yr | ISC   |         | AERMOD |         |
|------------------------|-------|---------|--------|---------|
|                        | 5 mph | 2.5 mph | 5 mph  | 2.5 mph |
| Mean                   | 26    | 23      | 24     | 20      |
| Std. Dev.              | 18    | 16      | 19     | 12      |
| n                      | 19    | 18      | 19     | 18      |

AERMOD was adopted to replace ISC as the preferred regulatory model. However, the results of the modeling for this analysis show no significant differences

between the two models for the specific meteorological conditions observed in 2007. The failure to detect statistical differences is not due to any confounding affects of the measured concentrations because the concentrations were applied similarly to both models throughout the analysis. A regression analysis between all TSP emission factors derived from ISC and AERMOD (Fig. 2) shows a strong correlation ( $R^2 = 0.901$ ) between ISC and AERMOD emission factors for under the observed conditions, with AERMOD emission factors being 0.913 times the ISC emission factors. An analysis of the regression shows that the 95% confidence interval on the slope spans from 0.810 to 1.02, and the constant (8.342) is not statistically different than zero ( $\alpha = 0.05$ ). Because the confidence interval of the slope includes 1.0 and the value of the constant is not statistically different than zero, there is no significant difference ( $\alpha = 0.05$ ) in the two models in this analysis.



**Figure 2. Regression analysis of ISC and AERMOD TSP emission factors. The slope of the regression is not statistically different from 1.0 and the regression constant is not statistically different than zero, indicating no significant difference in the models ( $\alpha = 0.05$ ).**

### Conclusions:

The results from the 2007 sampling campaign showed no difference in the emissions of PM10 and PM2.5 from almond harvesters when the speed is reduced from 5 to 2.5 mph. There was a significant difference in the emissions of TSP, which may have benefits for reducing visibility impairment but not for reducing emissions of regulated pollutants. The reasons behind differences in particle size for different treatments are not known at this time.

Additionally, no differences were detected in the emission factors calculated from measured concentrations using ISCST3 and AERMOD, indicating that almond harvest emission factors previously developed using ISC can be safely used in AERMOD. While many studies have shown a difference in the modeled concentrations between AERMOD and ISC, no differences were detected for the meteorological conditions observed during this sampling campaign. The lack of difference in models is likely due to the short-term, daytime observations used in this research. Typically dispersion models are used to predict hourly concentrations for every hour of the day, including

day time and night time. This research consisted of sampling that only took place during the day, limiting some of the meteorological variation that occurs during when 24-hour modeling is conducted.

### **Recent Publications:**

Capareda, S.C., Goodrich, L.B., C.B. Parnell, Jr. and C. Krauter. 2007. Dust Emission Factors from Almond Harvesting. Technical Presentation at the 35<sup>th</sup> Almond Industry Conference held from December 5-6, 2007 at Modesto, California Sponsored by the Almond Board of California.

Goodrich, L. B., S. C. Capareda, C. Krauter and W.B. Faulkner. 2008. Particulate Matter Emission Factors from Almond Sweeping and Reduced Pass Almond Sweeping. Technical Paper for submission to *Transactions of the ASABE*, American Society of Agricultural and Biological Engineers, St. Joseph, MI.

### **References:**

Buser, M., C. Parnell Jr., B. Shaw, R. Lacey. 2007. Particulate matter sampler errors due to the interaction of particle size and sampler performance characteristics: background and theory. *Transactions of the ASABE*. 50(1):221-228.

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## Appendices:

### Appendix A. Graph of PM Oversampling

As in previous years, the oversampling bias of FRM PM<sub>10</sub> samplers in the presence of PM with an MMD greater than 10µm was evident during the 2007 almond harvest sampling campaign (Fig. A1).

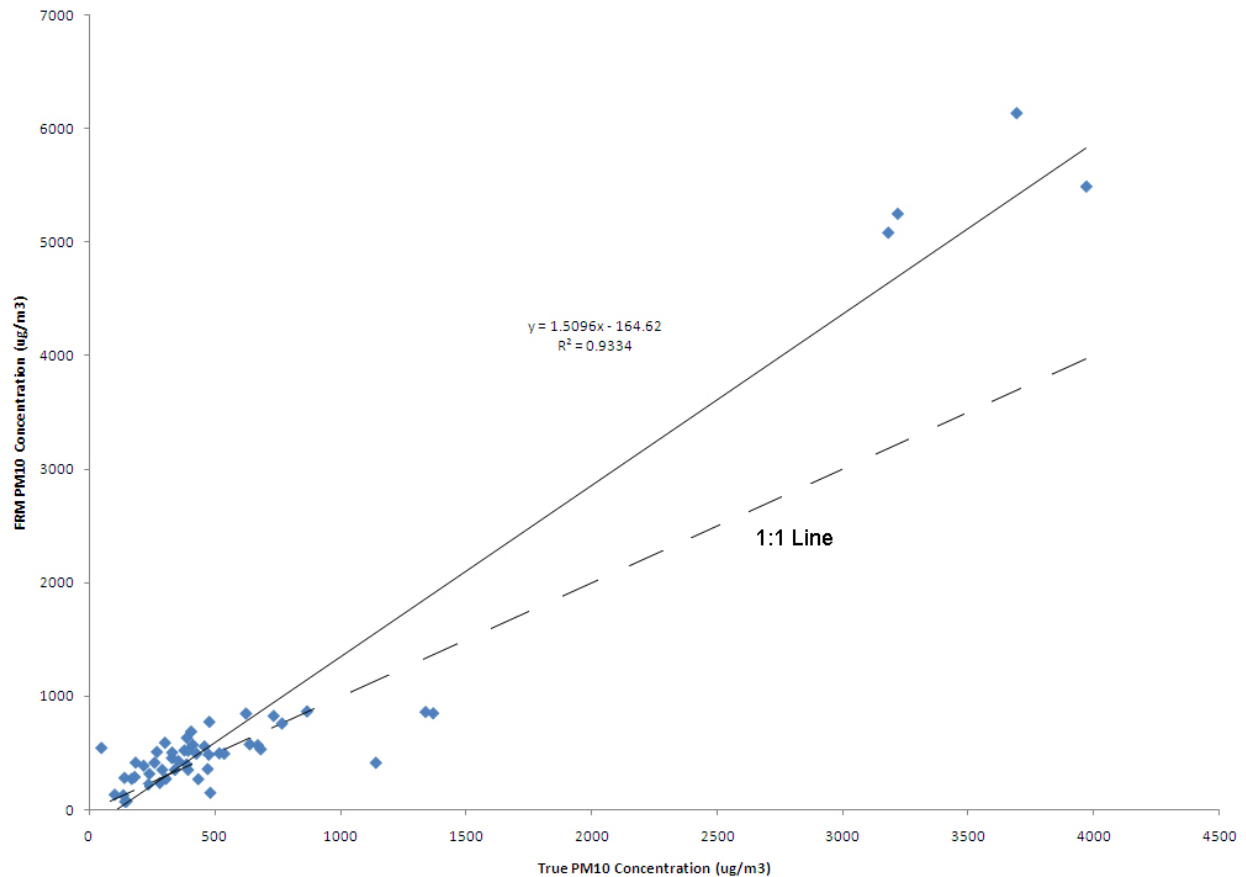


Figure A1. Regression analysis of PM<sub>10</sub> concentrations measured using FRM PM<sub>10</sub> samplers versus true PM<sub>10</sub> concentrations.

## Appendix B. Raw Data for the Wasco Sampling Event

**Table B1. Raw data for Wasco sampling event.**

| Test         | Sampler | Measured Conc.<br>( $\mu\text{g}/\text{m}^3$ ) |
|--------------|---------|--|
| 12 (5 mph)   | S1PM10  | 222.9  |
|              | S1TSP   | 914.5  |
|              | S2PM10  | 289.6  |
|              | S2TSP   | 835.8  |
|              | S3PM10  | 456.0  |
|              | S3TSP   | 1187.6   |
|              | S4PM10  | 352.3  |
|              | S4TSP   | 971.4  |
|              | UWPM10  | 127.9  |
|              | UWTSP   | 254.0  |
| 13 (2.5 mph) | S1PM10  | 310.7  |
|              | S1TSP   | 969.6  |
|              | S2PM10  | 523.1  |
|              | S2TSP   | 1397.5   |
|              | S3PM10  | 689.5  |
|              | S3TSP   | 2008.4   |
|              | S4PM10  | 400.4  |
|              | S4TSP   | 2209.0   |
|              | UWPM10  | 5.6  |
|              | UWTSP   | 95.8   |
| 14 (2.5 mph) | S1PM10  | 5084.8   |
|              | S1TSP   | 9197.5   |
|              | S2PM10  | 5491.7   |
|              | S2TSP   | 9944.3   |
|              | S3PM10  | 6137.9   |
|              | S3TSP   | 10873.8  |
|              | S4PM10  | 5251.9   |
|              | S4TSP   | 12216.5  |
|              | UWPM10  | 5.6  |
|              | UWTSP   | 95.8   |
| 15 (5mph)    | S1PM10  | 494.3  |
|              | S1TSP   | 1156.9   |
|              | S2PM10  | 90.9   |
|              | S2TSP   | 733.2  |
|              | S3PM10  | 416.0  |
|              | S3TSP   | 722.5  |
|              | S4PM10  | No data  |
|              | S4TSP   | 979.1  |
|              | UWPM10  | 181.4  |
|              | UWTSP   | 477.5  |

**Table B1 continued.**

|              |        |              |
|--------------|--------|--------------|
| 16 (2.5 mph) | S1PM10 | 150.4        |
|              | S1TSP  | 1690.9       |
|              | S2PM10 | 273.2        |
|              | S2TSP  | 1361.7       |
|              | S3PM10 | 539.1        |
|              | S3TSP  | Invalid data |
|              | S4PM10 | 74.4         |
|              | S4TSP  | 853.1        |
|              | UWPM10 | 71.7         |
|              | UWTSP  | 187.9        |
| 17 (5 mph)   | S1PM10 | 428.6        |
|              | S1TSP  | 2101.6       |
|              | S2PM10 | 848.5        |
|              | S2TSP  | 2784.8       |
|              | S3PM10 | 775.1        |
|              | S3TSP  | 2060.0       |
|              | S4PM10 | 634.4        |
|              | S4TSP  | 2009.1       |
|              | UWPM10 | 71.7         |
|              | UWTSP  | 187.9        |
| 18 (2.5 mph) | S1PM10 | 558.3        |
|              | S1TSP  | 1720.3       |
|              | S2PM10 | 573.4        |
|              | S2TSP  | 2083.3       |
|              | S3PM10 | 521.0        |
|              | S3TSP  | 1532.5       |
|              | S4PM10 | 415.0        |
|              | S4TSP  | 1848.7       |
|              | UWPM10 | 71.7         |
|              | UWTSP  | 187.9        |

### **Appendix C. Copy of Technical Paper for Submission to a Technical Journal.**

Goodrich, L.B., S.C. Capareda, C. Krauter and W.B. Faulkner. 2008. Particulate Matter Emission Factors from Almond Sweeping and Reduced Pass Almond Sweeping. Technical Paper for submission to *Transactions of the ASABE*. American Society of Agricultural and Biological Engineers, St. Joseph, MI.



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# PARTICULATE MATTER EMISSIONS FROM REDUCED PASS ALMOND SWEEPING

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**Abstract.** Almond harvest accounts for a significant amount of  $PM_{10}$  emissions in California each harvest season. This paper addresses the reduction of blower-passes during the harvest from 3 to 1 as a possible mitigation measure. Ambient total suspended particulate and  $PM_{10}$  sampling was conducted at two orchards during harvest with alternating control and experimental treatments. On-site meteorological data was used in conjunction with inverse dispersion modeling using Industrial Source Complex-Short Term version 3 to develop emission rates from the measured concentrations. Baseline emission factors of  $379 \pm 86$  kg  $PM_{10}/km^2/yr$  and  $16 \pm 3.3$  kg  $PM_{2.5}/km^2/yr$  were determined, and an emissions reduction of 49% was achieved by the experimental treatment, representing a significant potential for emissions reduction across the state. Harvest efficiency was also measured to determine the possible financial impacts from a crop removal aspect. The results of the harvest efficiency work were variable, but the amount of unharvested product left in the orchard was small.

**Keywords.**  $PM_{10}$ ,  $PM_{2.5}$ , TSP, almond harvest, inverse dispersion modeling, mitigation

## INTRODUCTION

California almond farmers produce 80% of the world's almond supply. In 2006, approximately 497 Gg of almonds were harvested in California on approximately 240,800 bearing hectares with a total value of \$2.2 billion (USDA, 2007). Over 70% (169,200 ha) of the bearing crop is located within the San Joaquin Valley Air Pollution Control District's (SJVAPCD) region. Due to the recent classification of the San Joaquin Valley (SJV) as a serious

42 non attainment area for PM<sub>10</sub>, the SJVAPCD has begun an aggressive campaign to reduce PM<sub>10</sub> emissions from all  
43 sources. PM<sub>10</sub> is particulate matter with an aerodynamic equivalent diameter less than or equal to 10µm. With the  
44 recent removal of the permitting exemption for agriculture, agricultural operations have become a target of scrutiny.  
45 The SJVAPCD has found that the available information on emission factors for agricultural operations is severely  
46 limited, is based on older harvest technology, and needs improvement.

47 The current emission factor applied to all almond harvesting operations is 4,570 kg PM<sub>10</sub>/km<sup>2</sup>/yr (CARB, 2003),  
48 accounting for 11 Gg of PM<sub>10</sub> each year. The almond harvest emission factor is composed of the sum of the  
49 emission factors for the three different harvest operations: shaking, sweeping and pickup. First, the trees are shaken  
50 to remove the product from the tree allowing it to air dry sitting on the ground; this accounts for 41.5 kg  
51 PM<sub>10</sub>/km<sup>2</sup>/yr of the emission factor. A few days later, after the crop has dried, the sweepers enter the orchard and  
52 sweep the almonds into windrows, currently accounting for 415 kg PM<sub>10</sub>/km<sup>2</sup>/yr. Finally, the pickup machines  
53 remove the product from the orchard, currently accounting for 4,120 kg PM<sub>10</sub>/km<sup>2</sup>/yr. Each harvest process  
54 accounts for significant emissions due to the total area to which the emission factors are applied.

55 The objectives of this study are as follows:

- 56 1. Quantify the possible emission reductions achieved through the use of reduced blower-passes during  
57 sweeping operations;
- 58 2. Quantify the amount of crop left in the orchard due to the reduction in blower-passes;
- 59 3. Propose improvements to the current baseline emission factor for standard sweeping operations by  
60 expanding the dataset used for its development.

## 61 **ALMOND HARVESTING**

62 This research focuses on the sweeping operation of harvesting. Sweeping was targeted due to the wide range of  
63 operational characteristics employed by various operators. The sweeping operation consists of a purpose-made  
64 vehicle (sweeper) that travels up and down the rows between trees sweeping the almonds into windrows for later  
65 pickup. The sweeper used in this work (Model 77 Series Air-Cab Sweeper; Flory Industries; Salida, California) had  
66 a 2.29 m-wide head that swept the almonds to the right as the machine traveled. This head moves the crop from  
67 near the tree into a windrow between trees. The sweeper also had a blower on the back end of the equipment that  
68 pointed to the left. As the sweeper travels down the tree row, any nuts that were not swept into the windrow on the

69 right side of the machine were blown to the other side of the tree row to be swept into the windrow on that side of  
70 the trees. The sweeper machine is designed to operate in one of two modes during all passes: sweeper only (sweeper  
71 passes) or sweeper and blower (blower passes). The traditional sweeping patter used for the development of the  
72 California Air Resources Board (CARB) emission factor used a six-pass treatment for each harvested windrow of  
73 almonds. The six passes consisted of three blower passes and three sweeper passes (hereafter referred to as the three  
74 blower-pass treatment). To achieve the goal of this research and maximize the difference between treatments, the  
75 experimental treatment was defined as having four total passes and was achieved by eliminating two of the blower  
76 passes (hereafter referred to as the one blower-pass treatment).

77 During sweeping the travel speed varies depending on the type of pass being made. Sweeper-only passes were  
78 conducted with a ground speed between 1.3 m/s and 1.6 m/s (3.0-3.5 mph). Passes made with both the blower and  
79 sweeper were made at approximately 0.9 m/s (2.0 mph). The reduction in passes increased the average travel speed  
80 of the sweeper resulting in a greater swept area per unit time thus possibly yielding a cost savings to the producer.

81 Almonds are typically planted in alternating varieties by tree row. The various varieties mature at different times  
82 resulting in multiple harvests for each orchard. The orchards sampled for this research were harvested at two  
83 different times, each harvest accounting for half the total orchard or every other tree row. However, the harvest  
84 process in a given orchard is identical for the alternating tree rows that mature later in the season. For this reason,  
85 the emission rate developed from the sampling represents only half the total emissions from harvest operations.

## 86 **EMISSION FACTOR DEVELOPMENT**

87 Emission factor development consisted of measuring the net particulate matter (PM) concentration increase  
88 between samplers located upwind and downwind of the harvested area. It is assumed that the difference in  
89 concentration is solely attributable to the activity of interest, in this case, sweeping operations.

90 During concentration measurements, the wind speed, wind direction, ambient temperature, relative humidity,  
91 barometric pressure, and solar radiation were measured on five-minute intervals. The dimensions of each test plot  
92 and corresponding meteorological data were then used with Industrial Source Complex-Short Term version 3  
93 (ISCST3) to determine a flux ( $\mu\text{g}/\text{m}^2\text{-s}$ ) for the given sampling period. ISCST3 was used because it was the EPA-  
94 preferred regulatory model in 2006, at the time the study was conducted.

95 **AMBIENT SAMPLING**

96 Due to the errors associated with federal reference method (FRM) samplers in agricultural environments  
97 identified by Buser et al. (2007), both total suspended particulate (TSP) measurements and FRM PM<sub>10</sub>  
98 measurements were conducted. TSP measurements were conducted with low-volume samplers designed by  
99 Wanjura et al. (2005) to reduce variations in sampler flow rate that lead to high uncertainty in FRM concentration  
100 measurements. PM<sub>10</sub> measurements were conducted using the same air-flow control unit as the TSP samplers and  
101 an FRM PM<sub>10</sub> sampling inlet (Model PQ100 Inlet; BGI Inc.; Waltham, MA). To correct for the errors associated  
102 with the FRM samplers, a particle size distribution (PSD) analysis was conducted on the TSP filters using a  
103 Beckman Coulter Counter Multisizer<sup>TM</sup> 3 (Beckman Coulter, Miami, Florida) after the filters were post-weighed.  
104 Particle size analysis was conducted according to the protocol specified by Faulkner and Shaw (2006) with the  
105 exception that the entire filter was analyzed rather than core samples. The results of the PSD analysis were  
106 converted from equivalent spherical diameter (ESD) to aerodynamic equivalent diameter (AED) using eq. 1,  
107 assuming a particle density of 2.6 g/cm<sup>3</sup> (based on soil particle samples taken from each orchard and analyzed using  
108 a pycnometer (AccuPyc 1330 Pycnometer, Micromeritics, Norcross, GA)) and a shape factor of 1.00.

109 
$$AED = ESD \sqrt{\frac{\rho_p}{\chi}} \quad (1)$$

110 where:

111 AED = aerodynamic equivalent diameter;

112 ESD = equivalent spherical diameter;

113  $\rho_p$  = particle density (g/cm<sup>3</sup>); and

114  $\chi$  = shape factor.

115 The resulting PSD was then used to determine the true percentage of PM<sub>10</sub> and PM<sub>2.5</sub> on each filter.

116 Each test was conducted with an identical sampler layout as it relates to the swept area. To maximize the  
117 available orchard area for sampling, the duration of each test was between 60-150 minutes. The variation in time  
118 was due to the desire to cover similar areas for replicated tests. After it was determined by visual inspection that  
119 sufficient mass was being collected on the TSP filters to ensure a viable PSD analysis, the treatment area was set to  
120 obtain a larger number of tests within the remaining orchard.

121 At each sampling location, plots were organized using a split-plot design in which control (three blower-passes)  
 122 and experimental (one blower-pass) treatments were tested. Eight tests were conducted at Site 1 while only seven  
 123 tests were conducted at Site 2. (Only six tests from Site 1 produced usable data; the other two were excluded  
 124 because the plot size was too small. Insufficient tree rows were available at Site 2 to complete the final replication  
 125 in the experimental design). For each test, one upwind and four downwind sampling locations were utilized. The  
 126 upwind samplers, which were not moved between test plots, were used to determine ambient (background)  
 127 concentrations in the area. All sampling locations consisted of one TSP sampler and one FRM PM<sub>10</sub> sampler.  
 128 Additionally, FRM PM<sub>2.5</sub> samplers were collocated at the upwind sampling location and one downwind sampling  
 129 location. The downwind samplers were spaced evenly across the downwind edge of the treatment area for each test  
 130 approximately 15 m from the edge of each plot. The samplers were placed such that there was enough room for the  
 131 sweeper to make turns and remain upwind of the sampler array while measuring concentrations as close to the test  
 132 area as possible in order to reduce the uncertainty in modeled pollutant concentrations as discussed by Faulkner et  
 133 al. (2007) . The four downwind samplers provided four independent measurements of concentration leading to four  
 134 independent estimates of the flux for each test. Plots were 400 m long and consisted of tree rows planted 6.7 m  
 135 apart with 5.5 m between trees within a row.

136 **INDUSTRIAL SOURCE COMPLEX**

137 ISCST3 is a steady state Gaussian plume model that can be used to predict downwind concentration from area  
 138 sources (EPA, 1995). ISCST3 is used to calculate 1-hr average concentrations at receptor locations placed  
 139 anywhere around a source. The inputs for the model include the relative placement of sources and receptor locations,  
 140 as well as meteorological conditions and emission fluxes. The equation that ISCST3 uses as the basis for all  
 141 calculations is a double Gaussian algorithm that represents a point source (eq. 2).

$$142 \quad C = \frac{Q}{2\pi u \sigma_y \sigma_z} \exp\left[-\frac{y^2}{2\sigma_y^2}\right] \left\{ \exp\left[-\frac{(H-z)^2}{2\sigma_z^2}\right] + \exp\left[-\frac{(H+z)^2}{2\sigma_z^2}\right] \right\} \quad (2)$$

143 where:

144 C = predicted concentration (µg/m<sup>3</sup>);

145 Q = emission rate (µg/s);

146 u = wind speed at the point of emissions release (m/s);

147 σ<sub>y</sub> = Pasquill-Gifford horizontal plume spread parameter based on stability class (m);

148  $\sigma_z$  = Pasquill-Gifford vertical plume spread parameters based on stability class (m);  
149  $H$  = height of plume release (m);  
150  $y$  = crosswind distance from source to receptor (m); and  
151  $z$  = height of receptor for concentration prediction (m).

152 Each input to ISCST3 was either measured in the field or are calculated from measured values. The Pasquill-  
153 Gifford dispersion parameters were calculated based on the atmospheric stability class using the Solar Radiation  
154 Delta-T (SRDT) method (USEPA, 2000).

### 155 **EMISSION FACTOR CALCULATIONS**

156 An emission factor is a representative value that attempts to relate the quantity of pollutant released to the  
157 atmosphere with an activity associated with release of the pollutant (USEPA, 1995). As applied to almond  
158 harvesting, the pollutant in question is  $PM_{10}$  or  $PM_{2.5}$  and the activities are shaking, sweeping and pick-up  
159 operations. The factors are usually expressed as the weight of the pollutant divided by a unit weight, volume,  
160 distance, area or duration of the activity resulting in pollutant emissions. For the almond harvest operation, the  
161 emission factor is expressed in mass of pollutant per unit of area harvested.

162 The result of dispersion modeling is a unit flux concentration (UFC) for each test. This is acquired by using the  
163 meteorological conditions measured during the test in conjunction with the orchard and sampler configuration in the  
164 model. The model is executed using a flux of  $1 \mu\text{g}/\text{m}^2\text{-s}$  to predict a concentration at each of the four downwind  
165 sampling locations. The predicted flux at each location is called the UFC and represents the change in predicted  
166 concentration for each unit increase of flux in the model. To obtain the estimated flux from the sweeping operation,  
167 the measured concentration at each sampling location is divided by the UFC at the corresponding sampling location  
168 resulting in the flux required to match the measured concentration (eq. 3). This process produces an estimate of the  
169 flux for each sampling location during each test.

$$170 \quad \frac{C_m}{UFC} = F \quad (3)$$

171 where:

172  $C_m$  = measured concentration ( $\mu\text{g}/\text{m}^3$ );  
173 UFC = unit flux concentration; and  
174  $F$  = pollutant emission flux ( $\mu\text{g}/\text{m}^2\text{-s}$ ).



175 The emission flux ( $\mu\text{g}/\text{m}^2\text{-s}$ ) calculated by ISCST3 can be easily converted into units of  $\text{kg}/\text{km}^2/\text{hr}$ . Thus, the  
176 formula to estimate the emission factor when the emission flux is known is given in eq. 4.

$$177 \quad \text{EF (kg/km}^2\text{)} = \text{ER (kg/km}^2\text{/hr)} \times \text{time of sampling (hrs)} \quad (4)$$

178 It is implied that if one is using the same area for an operation, the emission factor is the sum of the pollutant  
179 emissions after the completion of all harvesting activities (shaking, sweeping and pick-up) in a given year or season.  
180 Note that the unit of area is the area bounded by the extent of the sweeping operation for a given test. Almond  
181 growers commonly plant a combination of almond varieties in a given area to achieve cross pollination. The usual  
182 combination is a Non-Pareil variety with another variety or a Non-Pareil with two other varieties, such as Carmel  
183 and Butte, in each orchard. The Non-Pareil varieties are normally planted every other tree row with the other  
184 varieties planted on an alternating basis, but during the harvesting of one variety, all windrows are used for the  
185 pickup operation, virtually using the whole area for the harvest process. The overall emission factor is the sum of the  
186 two harvesting operations for each variety. In an orchard that is harvested twice, the pickup operation for the second  
187 harvest period is identical to that of the first. Assuming negligible change in emissions between first and second  
188 harvest, the emission rate from the first harvest was doubled to yield the seasonal total emission factor.

189 Treatment means were compared using the Student's t-test function in SPSS (SPSS v.14.0; SPSS, Inc., Chicago,  
190 IL) with a 0.05 level of significance. The null hypothesis tested in all cases was that mean emissions from each site  
191 or treatment were equal.

#### 192 **HARVEST EFFICIENCY**

193 Due to the reduced number of blower-passes made with this work there were more almonds left in the orchard  
194 that were not successfully swept into windrows. These almonds were considered lost product that would not be  
195 picked up and represent a loss to the producer. Within the test plots, five replicate sample areas were chosen in a  
196 diagonal matrix across the plot. The sample area consisted of the area between four trees. All nuts that were left  
197 more than 0.3048 m (1 ft.) from the windrow were considered non-harvestable. These nuts were collected after  
198 sweeping in sealed plastic bags and weighed. It was assumed that 25% of the nut would be almond meat.

#### 199 **SITE DESCRIPTION**

200 Sampling was conducted in the southern SJV (site 1) and the Sacramento Valley (site 2). This provided  
201 geographical variation in the results that could be used to determine if the results were applicable to a wider area.  
202 Trees at Site 1 were approximately eight years old at the time of sampling. Site 1 consisted of a sandy loam soil

203 with 13% clay. The average soil moisture content of the berm was 7% dry basis (db) and the between-row moisture  
 204 content was 6%<sub>db</sub>. Site 1 was irrigated using micro emitters and had a small raised berm running the length of the  
 205 orchard on which the trees were planted. The berm and between-row moisture content were quantified  
 206 independently because these orchards only applied irrigation water to the berm area to maximize water use  
 207 efficiency. This site also had significant quantities of loose soil on the surface that was freely moved by the sweeper  
 208 with the almonds during the operations. Site 2, in the Sacramento Valley, also had trees that were eight years old at  
 209 the time of sampling. Site 2 consisted of a Hillgate loam with 19% clay. The average moisture content of the berm  
 210 at site 2 was 7%<sub>db</sub> and the between-row moisture content was 3%<sub>db</sub>. Site 2 was irrigated using surface drip tubing  
 211 and had virtually no berm in the tree rows. This site did not have significant amounts of loose soil on the surface  
 212 and there was very high compaction in the orchard providing for less chance of entrainment. All orchards were  
 213 oriented north-south with a prevailing southerly flow vector.

214 Emission factors for each sampling location were developed for TSP, FRM PM<sub>10</sub> and true PM<sub>10</sub>. The results  
 215 were analyzed separately based on the sampling site to see if there was any effect due to the different conditions at  
 216 each orchard.

## 217 **RESULTS AND DISCUSSION**

218 TSP particulate concentrations during the Site 1 sampling campaign are presented in table 1. As expected, all  
 219 downwind concentration measurements exceeded upwind measurements. The grand mean downwind concentration  
 220 measurement was 916 µg/m<sup>3</sup> and the grand mean upwind concentration was 251 µg/m<sup>3</sup> representing an average  
 221 increase in TSP across the sampling area of 665 µg/m<sup>3</sup> TSP. All sampling tests lasted less than 2.5 hours. Test 1 for  
 222 Site 1 was discarded due to an extremely short sampling period.

223 **Table 1. Measured TSP concentrations for Site 1 (µg/m<sup>3</sup>).**

| Sampler | Test  |     |       |       |       |     |     |
|---------|-------|-----|-------|-------|-------|-----|-----|
|         | 2     | 3   | 4     | 5     | 6     | 7   | 8   |
| UW      | 137   | 126 | 126   | 316   | 745   | 153 | 153 |
| S1      | 1,131 | 352 | 449   | 1,053 | 3,265 | 374 | 401 |
| S2      | 650   | 369 | 832   | 619   | 2,556 | 668 | 566 |
| S3      | 456   | 346 | 950   | 947   | 3,332 | 735 | 422 |
| S4      | 335   | 329 | 1,018 | 1,304 | 1,324 | 514 | 349 |

224

225 TSP concentrations from Site 2 are presented in table 2. All seven tests were successful from a PM measurement  
 226 stand point. The filter at sampler location S3 for test 3 was dropped during sampling and was therefore invalid and  
 227 not reported. The upwind filters were not changed between samples two and three resulting in the same upwind  
 228 concentration for both. The same was done for tests 4 and 5, and then again for tests 6 and 7. The grand mean  
 229 upwind TSP concentration was 118  $\mu\text{g}/\text{m}^3$  and the mean downwind TSP concentration was 724  $\mu\text{g}/\text{m}^3$  representing  
 230 an average increase in TSP concentrations across the orchard of 613  $\mu\text{g}/\text{m}^3$ . Concentrations were measured over a  
 231 time period of 1.5 to 2.5 hours.

232 **Table 2. Measured TSP concentrations for Site 2 ( $\mu\text{g}/\text{m}^3$ ).**

| Sampler | Test  |     |     |       |     |     |     |
|---------|-------|-----|-----|-------|-----|-----|-----|
|         | 1     | 2   | 3   | 4     | 5   | 6   | 7   |
| UW      | 57    | 209 | 209 | 105   | 105 | 72  | 72  |
| S1      | 1,556 | 879 | 663 | 2,407 | 750 | 910 | 496 |
| S2      | 491   | 963 | 131 | 597   | 701 | 853 | 125 |
| S3      | 773   | 967 | --  | 590   | 872 | 638 | 90  |
| S4      | 769   | 593 | 577 | 479   | 900 | 700 | 78  |

233  
 234 A substantial difference in the upwind concentration measurements at each location was observed. Site 1 was in  
 235 the southern SJV and has frequently exceeded the National Ambient Air Quality Standards for  $\text{PM}_{10}$ . Site 2 was  
 236 north of Sacramento in an area that has relatively few problems with exceedances of the National Ambient Air  
 237 Quality Standards.

238 **PARTICLE SIZE DISTRIBUTIONS**

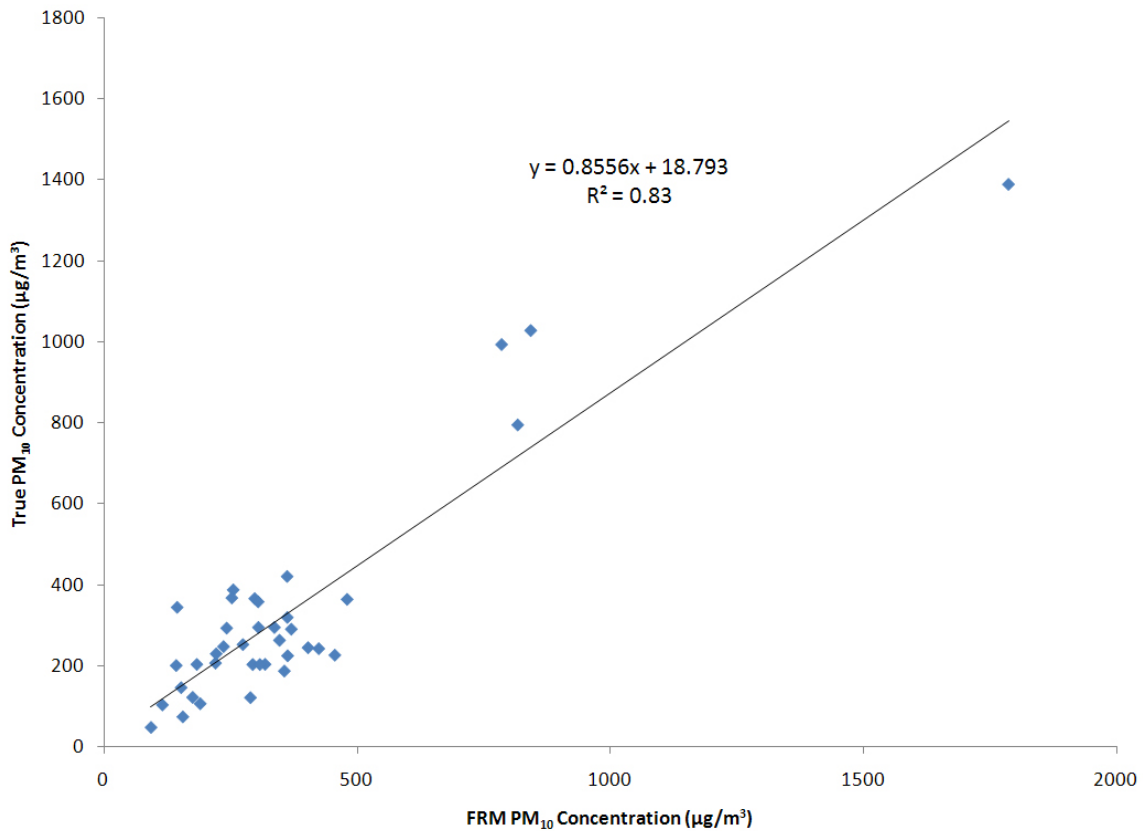
239 Particle size distribution (PSD) analyses were completed for all TSP filters with satisfactory loading, and the  
 240 resulting mass median diameter (MMD) and geometric standard deviation (GSD) were used to calculate the percent  
 241 of mass less than 10- and 2.5- $\mu\text{m}$  on each filter assuming a log-normal PSD (table 3). This value was then used to  
 242 determine the true  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$  concentrations. The difference in measured PSDs between the locations is not  
 243 surprising as the significantly different soil types between locations resulted in different parent material for  
 244 entrainment. The previously reported MMD and GSD recorded for sweeping were 12.8  $\mu\text{m}$  and 1.9, respectively  
 245 (Flocchini, et al. 2005).

246 **Table 3. Particle size distribution parameters for both sampling sites.**

| Location | MMD<br>( $\mu\text{m AED}$ ) | GSD  | True PM <sub>10</sub><br>(%) | True PM <sub>2.5</sub><br>(%) |
|----------|------------------------------|------|------------------------------|-------------------------------|
| Site 1   | 15.6                         | 2.17 | 28                           | 0.9                           |
| Site 2   | 12.8                         | 2.21 | 38                           | 2                             |

247

248 True PM<sub>10</sub> concentrations were compared to those measured using FRM PM<sub>10</sub> samplers at both sampling sites.  
 249 Using the linear regression function in SPSS (SPSS v. 14.0; SPSS Inc.; Chicago, IL), no significant differences were  
 250 detected ( $\alpha = 0.05$ ) in the slope or intercept of regressions between Sites 1 and 2, so all data is presented together in  
 251 fig. 1. The true PM<sub>10</sub> value was 86% of the value measured using FRM PM<sub>10</sub> samplers ( $p < 0.005$ ), indicating an  
 252 over sampling rate of 17% (i.e. the measured emission factors would erroneously be 17% higher if only FRM PM<sub>10</sub>  
 253 samplers were used).



254

255 **Figure 1. Scatter plot of FRM PM<sub>10</sub> versus True PM<sub>10</sub> concentrations for all sampling locations.**

256 A similar comparison was not conducted for PM<sub>2.5</sub> concentrations due to the extremely low concentrations  
 257 measured using the FRM PM<sub>2.5</sub> samplers. The short sampling time and the small PM<sub>2.5</sub> component of emissions

258 resulted in measured PM<sub>2.5</sub> concentrations below detectable levels for all samples. The extremely low sampled  
 259 concentrations led to the use of the PSD information alone to determine PM<sub>2.5</sub> emission rates.

260 **EMISSION RATES**

261 The sampling conducted at Site 1 produced a total of six usable tests with four downwind sampling locations  
 262 providing a potential of 24 TSP emission rates. Tests 1 and 8 did not meet the minimum time requirements due to  
 263 smaller harvest areas at either end of the orchard and were not included in this analysis. Results of the emission rate  
 264 analysis for the six valid tests at Site 1 are shown in table 4.

265 **Table 4. Site 1 TSP emission rates (kg/km<sup>2</sup>) for half the orchard.**

| Sampler<br>/Blower Passes | Test  |     |     |       |     |     |
|---------------------------|-------|-----|-----|-------|-----|-----|
|                           | 2     | 3   | 4   | 5     | 6   | 7   |
|                           | 3     | 1   | 1   | 3     | 3   | 1   |
| S1                        | 1,714 | 375 | 567 | 1,394 | 905 | 212 |
| S2                        | 359   | 404 | 483 | 292   | 621 | 333 |
| S3                        | 189   | 230 | 404 | 530   | 989 | 302 |
| S4                        | 116   | 202 | 397 | 751   | 353 | 240 |

266  
 267 The average TSP emission rate for three blower-passes was 684 kg TSP/km<sup>2</sup>/yr and the average emission factor  
 268 for one blower-pass was 346 kg TSP/km<sup>2</sup>/yr. This represents a reduction of 338 kg TSP/km<sup>2</sup>/yr or 49% of emissions  
 269 compared to the control treatment. No outliers were detected in the data set. Using the Student's t-test, the null  
 270 hypothesis that there was no difference between treatments was rejected, indicating that the difference was  
 271 significant (p < 0.05).

272 Sampling conducted at Site 2 produced a total of six usable tests resulting in a total of 24 usable emission rates.  
 273 At this sampling location, test 3 did not have an adequate wind direction and is not shown in this analysis. Emission  
 274 rates for the valid tests at Site 2 are shown in table 5.

275 **Table 5. Site 2 TSP emission rates (kg/km<sup>2</sup>) for half the orchard.**

| Sampler /Blower<br>Passes | Test  |     |       |     |     |     |
|---------------------------|-------|-----|-------|-----|-----|-----|
|                           | 1     | 2   | 4     | 5   | 6   | 7   |
|                           | 3     | 1   | 1     | 3   | 3   | 1   |
| S1                        | 2,151 | 387 | 3,469 | 349 | 673 | 550 |
| S2                        | 496   | 406 | 229   | 299 | 509 | 83  |
| S3                        | 665   | 442 | 151   | 408 | 349 | 36  |
| S4                        | 704   | 350 | 104   | 579 | 392 | 26  |

[a] Cells with gray backgrounds are statistical outliers.

276

277 Outliers were identified and excluded from subsequent analysis. The emission factors developed for sampler S1  
 278 during tests 1 and 4 were likely outliers because the sampler was located at the edge of the Gaussian plume modeled  
 279 using ISCST3 where the greatest uncertainty in pollutant concentration exists. This phenomenon was discussed in  
 280 detail by Faulker et al. (2007). At Site 2 the mean emission factor for three blower-passes was 493 kg TSP/km<sup>2</sup>/yr  
 281 and the mean emission factor for one blower-pass was 251 kg TSP/km<sup>2</sup>/yr. This represents a reduction in emission  
 282 of 242 kg/km<sup>2</sup>/yr or 49%. Using the Student's t-test, there was a significant difference in the emission factors ( p <  
 283 0.05).

284 By combining the PSD information (table 3) with the TSP emission rate calculations (table 4 and 5), the true  
 285 PM<sub>10</sub> and PM<sub>2.5</sub> emission factors were computed for each test (table 6).

286 **Table 6. Mean TSP, PM<sub>10</sub> and PM<sub>2.5</sub> emission rates (kg/km<sup>2</sup>) for both sampling locations.<sup>[a]</sup>**

| Location | Blower Passes | TSP   |            | True PM <sub>10</sub> |            | True PM <sub>2.5</sub> |            |
|----------|---------------|-------|------------|-----------------------|------------|------------------------|------------|
|          |               | Mean  | Std. Error | Mean                  | Std. Error | Mean                   | Std. Error |
| Site 1   | 3             | 684 a | 142        | 192 c                 | 40         | 6 e,f                  | 1.2        |
|          | 1             | 345 b | 33         | 97 d                  | 9          | 3 e                    | 0.3        |
| Site 2   | 3             | 493 a | 44         | 187 c                 | 17         | 10 f                   | 0.9        |
|          | 1             | 251 b | 55         | 95 d                  | 21         | 5 e                    | 1.2        |

[a] No statistical difference ( $\alpha = 0.05$ ) was detected between means followed by the same letter.

287  
 288 The resulting emission rate for true PM<sub>10</sub> at Site 1 and Site 2 was 192 kg PM<sub>10</sub>/km<sup>2</sup>/yr and 187 kg PM<sub>10</sub>/km<sup>2</sup>/yr,  
 289 respectively. Using the Student's t-test, the null hypothesis that there was no significant difference between  
 290 locations for each treatment was accepted. Therefore, emission rates from both sampling sites were combined into a  
 291 single emission rate for each treatment. Due to the extremely small PM<sub>2.5</sub> emission factors, no differences were  
 292 detected between treatments at Site 1.

293 **EMISSION FACTORS**

294 As previously mentioned, emission rates presented above represent only the PM emissions measured during half  
 295 of the total harvest. Due to the practice of planting alternating varieties by tree row it is usually necessary to return  
 296 to the orchard to harvest the remainder of the orchard using the same methods. Annual emission factors were  
 297 calculated assuming negligible differences in emissions between first and second harvest and were, therefore,  
 298 assumed to be twice the emission rate for the first harvest. Annual PM<sub>10</sub> emission factors for all tests are shown in  
 299 table 7.

300 **Table 7. Aggregated PM<sub>10</sub> emission factors (kg PM<sub>10</sub>/km<sup>2</sup>/yr) for the two treatments at both sampling sites.**

| Treatment | Mean | Std. Error | % Reduction |
|-----------|------|------------|-------------|
| 3 Passes  | 379  | 44         | N/A         |
| 1 Pass    | 190  | 21         | 49          |

301  
 302 Annual PM<sub>2.5</sub> emission factors are shown in table 8. While the locations had statistically different emission for  
 303 each treatment, the reduction in emissions at both locations was equivalent to the reduction in PM<sub>10</sub> emissions.

304 **Table 8. PM<sub>2.5</sub> emission factors (kg PM<sub>2.5</sub>/km<sup>2</sup>/yr) for each treatment and location.<sup>[a]</sup>**

| Location | Treatment | Mean   | Std. Error | % Reduction |
|----------|-----------|--------|------------|-------------|
| Site 1   | 3 Passes  | 12 a   | 2.6        | N/A         |
|          | 1 Pass    | 6 b    | 0.6        | 49          |
| Site 2   | 3 Passes  | 20 a   | 1.8        | N/A         |
|          | 1 Pass    | 10 a,b | 2.1        | 49          |

[a] No statistical difference ( $\alpha = 0.05$ ) was detected between means followed by the same letter.

305  
 306 By further examining the emission rates presented in table 6 for the single sweeping mode and making the  
 307 assumption that the sweeper emissions are strictly from the two modes of operation (sweeper-only and blower-plus-  
 308 sweeper) the emission contribution of each mode may be estimated. The three blower-pass treatment consisted of  
 309 three blower-and-sweeper passes combined with three sweeper-only passes (eq. 5). The single-blower-pass  
 310 operation has the same three sweeper-only passes, but only one blower-pass (eq. 6).

311 
$$190 \frac{kg}{km^2} = 3B + 3S \quad (5)$$

312 
$$96 \frac{kg}{km^2} = 1B + 3S \quad (6)$$

313 where:

314 B = PM<sub>10</sub> emission rate for blower and sweeper passes (kg PM<sub>10</sub>/km<sup>2</sup>/yr), and

315 S = PM<sub>10</sub> emission rate for sweeper only passes (kg PM<sub>10</sub>/km<sup>2</sup>/yr).

316 By solving these equations simultaneously, the resulting emission factor for sweeper-only passes and sweeper-and-  
 317 blower passes were estimated to be 16 kg PM<sub>10</sub>/km<sup>2</sup>/yr and 47 kg PM<sub>10</sub>/km<sup>2</sup>/yr, respectively. By attributing the  
 318 emission factor to individual sub-operations it may be possible to apply emission factors to all equipment  
 319 management practices utilized by equipment operators. This essentially allows the emission factor to be estimated  
 320 for any number of blower and sweeper pass combinations.

321 **HARVEST EFFICIENCY**

322 Results of harvest efficiency analyses are shown in table 9. By assuming a 25% turnout from the unharvested  
323 nuts, an average of 288 trees per hectare, and equal masses of meats left behind in the first and second harvest, a  
324 prediction of the mass of nut meats in the orchard was made. The average yield of almond meats was 2,125 kg/ha  
325 the year this work was completed (USDA, 2007). While there was no significant difference between nuts left in  
326 orchard at Site 1, Site 2 showed a significant difference in the amount of product determined to be unharvestable.  
327 The same operator and equipment was used at each site, therefore, the only variable in harvest efficiency was the  
328 conditions of the orchard. However, no obvious difference between the orchards was identifiable as the cause of the  
329 varied results in table 9. It should be noted that while the results are not consistent across the two orchards involved  
330 in this study, the amount of unharvested product is relatively small, even at Site 2. This lost product would likely be  
331 offset by the concurrent reduction in operating costs achieved by reducing the number of passes through the orchard  
332 with the experimental treatment.

333 **Table 9. Mass of nuts left in the orchard (kg/ha) after all harvesting was completed for each of the treatments.<sup>[a]</sup>**

| Location | Treatment | Mean  | Std. Error |
|----------|-----------|-------|------------|
| Site 1   | 3 Passes  | 36 a  | 2.0        |
|          | 1 Pass    | 40 a  | 0.9        |
| Site 2   | 3 Passes  | 53 a  | 7.8        |
|          | 1 Pass    | 133 b | 4.5        |

[a] No statistical difference ( $\alpha = 0.05$ ) was detected between means followed by the same letter.

334 **CONCLUSIONS**

335  $PM_{10}$  and  $PM_{2.5}$  emission rates were determined for almond sweeping for both a standard treatment as well as a  
336 reduced-pass treatment as a potential conservation management practice. The reduced-pass treatment lowered  $PM_{10}$   
337 and  $PM_{2.5}$  emissions by 49% compared to the standard treatment. The quantity of product left in the orchard may be  
338 a deterrent to adopting this practice, but the increased sweeping speeds and reduced harvest time may make up for  
339 the lost crop.

340 The conventional sweeping emission factors using three blower-passes were found to be  $379 \pm 86$  kg  $PM_{10}/km^2/yr$   
341 and  $16 \pm 3.3$  kg  $PM_{2.5}/km^2/yr$ . This sweeping emission factor is lower than the current sweeping emission factor of  
342 415 kg  $PM_{10}/km^2/yr$ . Reducing the number of blower-passes from three to one lowered the average emission factor  
343 by 49% to  $192 \pm 41$  kg  $PM_{10}/km^2/yr$ .



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